Attorney's Docket No.: 17084-004016

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Gyula Hadlaczky, et al.

: 1632 Art Unit

10/782,129

Examiner: Unknown

Filed

February 18, 2004

Conf. No.: 5795

Cust. No. : 20985

Title

: ARTIFICIAL CHROMOSOMES, USES THEREOF AND METHODS FOR

PREPARING ARTIFICIAL CHROMOSOMES

### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

# TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page) and cited references for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a first Office Action on the merits for the above-captioned application, a fee for filing this statement should not be due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

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The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie L. Seidman Reg. No. 33,779

Attorney Docket No. 17084-004016/4020

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796

email: seidman@fr.com

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EV 399295519

Date of Deposit September 20, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie L. Seidman



Attorney's Docket No.: 17084-004016/402O

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Applicant: Gyula Hadlaczky, et al.

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#### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

#### Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited references are provided herewith in connection with the above-captioned application.

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Lavel Number EV 399295519 Date of Deposit September 20, 2004

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie L. Seidman

Applicant: Gyula Hadlaczky, et al. Attorney's Docket No.: 17084-004016/4020

Serial No.: 10/782,129

Filed : February 18, 2004

Supplemental Information Disclosure Statement

Page : 2 of 2

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Since the last Supplemental Information Disclosure Statement was filed on July 22, 2004, no additional co-pending applications need be made known to the Examiner.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie L. Seidman Reg. No. 33,779

Attorney Docket No. 17084-004016/402O

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

Facsimile: (202) 626-7796 email: seidman@fr.com

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/	Substitute Form (Modified)	n PTO-1449		partment of Commerc and Trademark Office		020	Application No 10/782,129	
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AT THE	(37 CFR §1.98	<b>4.</b> )			Filing Date February 18, 200	4	Group Art Unit	
	MADEMARI			U.S. Pater	nt Documents			
	Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
		AA	5270201	12/14/93	Richards et al.	435	240.4	03/30/92
		AB	5695967	12/09/97	Van Bokkelen et al.	435	91.1	06/07/95

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AC	NONE						
	AD							

(	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
	AE	Adam et al., "Retrofitting YACs for direct DNA transfer into plant cells", Plant Journal 11:1349-1358 (1997)			
	AF	Brown et al., "Artificial chromosomes: ideal vectors?", TIBTECH 18:218-223 (2000)			
	AG	Szakal B, Cserpan I, Csonka E, Monostori E, Udvardy A, Hadlaczky G, "Cloning, characterization and localization of Chinese hamster HP1 isoforms", <i>Chromosome Res.12(5)</i> :483-93 (2004)			
	АН	Zabel et al., "Towards the construction of artificial chromosomes for tomato", NATO ASI Series, Series A: Life Sciences (Mol. Form Funct. Plant Genome) 83:609-624 (1985)			

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.